

# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

Complete If Known

Application Number 09/118,754  
Filing Date 11/22/2000  
First Named Inventor Kathryn K. Lappagard  
Examiner Name Baum, Stuart F.  
Art Unit 1638  
Attorney Docket No. 1188E

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## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 16-1852

Deposit Account Name Pioneer Hi-Bred International, Inc.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Fee Code (\$)	Fee (\$)	Small Entity	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
	1001	750	2001	375		Utility filing fee	
	1002	330	2002	165		Design filing fee	
	1003	520	2003	260		Plant filing fee	
	1004	750	2004	375		Reissue filing fee	
	1005	160	2005	80		Provisional filing fee	

SUBTOTAL (1)

(\$ 0)

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
8	-30**	0	0
5	-10**	0	84
			0

Large Entity	Fee Code (\$)	Fee (\$)	Small Entity	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
	1202	18	2202	9		Claims in excess of 20	
	1201	84	2201	42		Independent claims in excess of 3	
	1203	280	2203	140		Multiple dependent claim, if not paid	
	1204	84	2204	42		** Reissue independent claims over original patent	
	1205	18	2205	9		** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

(\$ 0)

## 3. ADDITIONAL FEES

Large Entity	Fee Code (\$)	Fee (\$)	Small Entity	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
	1051	130	2051	65		Surcharge - late filing fee or oath	
	1052	50	2052	25		Surcharge - late provisional filing fee or cover sheet	
	1053	130	2053	65		Non-English specification	
	1812	2,520	1812	2,520		For filing a request for reexamination	
	1804	920*	1804	920*		Requesting publication of SIR prior to Examiner action	
	1805	1,840*	1805	1,840*		Requesting publication of SIR after Examiner action	
	1251	110	2251	55		Extension for reply within first month	
	1252	410	2252	205		Extension for reply within second month	
	1253	630	2253	315		Extension for reply within third month	
	1254	1,450	2254	725		Extension for reply within fourth month	
	1255	1,970	2255	985		Extension for reply within fifth month	
	1401	320	2401	160		Notice of Appeal	
	1402	320	2402	160		Filing a brief in support of an appeal	
	1403	280	2403	140		Request for oral hearing	
	1451	1,510	1451	1,510		Petition to institute a public use proceeding	
	1452	110	2452	55		Petition to revive - unavailed	
	1453	1,300	2453	650		Petition to revive - unintentional	
	1501	1,300	2501	650		Utility issue fee (or reissue)	
	1502	470	2502	235		Design issue fee	
	1503	630	2503	315		Plant issue fee	
	1460	130	1460	130		Petitions to the Commissioner	
	1807	50	1807	50		Processing fee under 37 CFR 1.17 (c)	
	1806	180	1806	180		Submission of Information Disclosure Sheet	180
	8021	40	8021	40		Recording each patent assignment per property (Times number of properties)	
	1809	750	2809	375		Filing a submission after final rejection (37 CFR § 1.129(a))	
	1810	750	2810	375		For each additional invention to be examined (37 CFR § 1.129(b))	
	1801	750	2801	375		Request for Continued Examination (RCE)	
	1802	900	1802	900		Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 180)

\*or number previously paid; if greater; For Reissues, see above

## SUBMITTED BY

Name (Print/Type)	Registration No. Attorney/Agent	Telephone
Kathryn K. Lappagard	48,857	(515) 253-5707

Signature

*Kathryn K. Lappagard*

Date

August 5, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application forms to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor : Kathryn K. Lappegard  
Application Number : 09/718,754  
Filing Date : 11/22/2000  
Title : Seed-Preferred Regulatory Elements and Uses Thereof

Group/Art Unit : 1638  
Examiner : Baum, Stuart F

Attorney Docket Number : 1189E

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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*Extension Time*

**AMENDMENT**

In response to the Office Action of February 13, 2003, please amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 4 of this paper.

**Remarks/Arguments** begin on page 7 of this paper.